



Paul Vorndran

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Speaking Topics

Navigating Insurance and Investment Law

Understand what is new in unlicensed security enforcement, from Ponzi schemes to vetting products to avoid trouble. Available to speak on these top 10 pressing agent and adviser problems and related topics.

- Unregistered alternative investments
- What to do when you receive a notice
- Fiduciary rules
- State Suitability issues
- Contract termination
- Declinations
- Client/partner disputes
- Dealing with carrier that go bust
- Licensing & contracting
- Third party marketing firms

Read more by Paul Vorndran:

- [Alternative investment sellers: If you can't answer these questions, step to the sidelines](#)
- [Another Ponzi Scheme – Pot and Cattle](#)
- [Annuities sellers beware: Advice may trigger enforcement](#)
- [“Buy immediately” and get a “free meal” with that Ponzi scheme](#)

Bio

With 26+ years as a Colorado trial and litigation attorney, Paul Vorndran has extensive experience in the areas of insurance and securities regulation and litigation.

Six of those years were spent with the Colorado Attorney General's office, where Paul represented the Colorado Securities and Insurance Commissioners and brought enforcement actions against promoters and non-securities licensed, independent insurance agents.

Now he works the other side, advising and defending individuals and businesses engaged in the investment business, including registered insurance agents, investment advisers, investment adviser representatives, broker-dealers, and securities sales representatives, in both regulatory matters and customer disputes. Paul defends individuals and entities in enforcement actions brought by the U.S. Securities and Exchange Commission (SEC), the Colorado Securities and Insurance Commissioners, and the Financial Industry Regulatory Authority (FINRA). He also defends actions brought by investors against licensed and unlicensed sellers of investments.

An attorney by your side. Learn more at the [Agent Advocate](#) and [Jones & Keller](#).