



Paul Vorndran

Shareholder

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Paul L. Vorndran has been a Colorado trial and litigation attorney for more than 25 years, and his practice emphasizes complex civil financial matters in state and federal courts and in a variety of arbitration forums. One of Paul's areas of expertise is securities litigation and regulation. Drawing upon more than six years with the Colorado Attorney General's office where he represented the Colorado Securities and Insurance Commissioners, he has extensive experience in the areas of investment and insurance regulation and litigation. Paul represents individuals and businesses licensed as investment advisers, investment adviser representatives, broker-dealers, securities sales representatives and insurance producers in regulatory matters as well as customer disputes. Paul also represents consumers and investors in actions against broker-dealers, investment advisers and insurance producers. He has litigated many business disputes involving non-compete agreements, trade secrets, commercial contracts, fiduciary duties, the Lanham Act, and the Fair Labor Standards Act.

Paul handles *investor* cases involving:

- Unsuitability
- Churning
- Breach of Fiduciary Duty
- Overconcentration
- Fraud
- Negligence
- Unauthorized trading
- Limited partnership interests
- REITs
- Viatical settlements
- Annuities
- Hedge funds
- Affinity fraud
- Investment adviser and broker-dealer misconduct

Paul represents *investment advisers and broker-dealers* in cases involving:

- Regulatory and licensing suspensions or revocations
- Regulatory investigations
- FINRA investigations
- SEC investigations
- Customer disputes
- FINRA arbitrations
- Investor cases brought in the state and federal courts
- Compliance reviews and audits
- Form ADV review

PRACTICE AREAS:

Litigation
Securities Litigation / Enforcement
Defense

EDUCATION:

- The University of Colorado School of Law (J.D., 1992)
- Purdue University (B.A. Philosophy, 1989)

LICENSED IN:

Colorado

Professional Memberships and Activities

- State Bar of Colorado
- Colorado Bar Association
- Denver Bar Association
- Martindale-Hubbell – AV Preeminent rating

Awards

